(AW 08/03)

Substitute for Form 1449A/PTO	Complete if Known			
	Application Number	To Be Assigned	10/827,146	
INFORMATION DISCLOSURE	Filing Date	Herewith	/	
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz		
 (Use as many sheets as necessary)	Art Unit	Not Yet Known		
 ·	Examiner Name	Not Yet Known		
SHEET 1 of 2	Attorney Docket No.	SSM-491US1		

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
m	1	US-4,602,416	07/29/1986	Thome	Entire Document
me	2	US-6,089,309	07/18/2000	Ge	Entire Document
M	3	US-6,095,957	08/01/2000	Ichino et al.	Entire Document
M	4	US-5,839,495	11/24/1998	Ichino et al.	Entire Document
M	5	US-4,990,410	02/05/1991	Saitoh et al.	Entire Document
Me	6	US-4,900,635	02/13/1990	Bowen et al.	Entire Document
M	7	US-4,433,032	02/21/1984	Nakamura et al.	Entire Document
im	8	US-4,357,394	11/02/1982	Khandros	Entire Document
					-
	L				
	ļ				
		<u> </u>		·	
•					
	<u> </u>				
					<u> </u>
	1		İ	1	1

		FOREIGN PA	TENT DOCUMEN	TS		
	~	Foreign Patent Document	8 .hll	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Ote No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (If known)</sup>	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	Τ <sup>6</sup>
m	9	EP 1 001 040	05/17/2000	Grunow	Entire Document	
ML	10	WO 01/58622	08/16/2001	Holzgruber et al.	Entire Document	
			X.			닏
<u> </u>						片片
						믐
		<u> </u>	I	<u> </u>	<u></u>	ע
Examiner Signature		Callela		Date Considered	2/3/08	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

For Japanese patent document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

\*Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(AW 08/03)

Substitute for Form 1449B/PTO	Complete if Known		
	Application Number	To Be Assigned /0/827, 146	
INFORMATION DISCLOSURE	Filing Date	Herewith	
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz	
(Use as many sheets as necessary)	Art Unit_	Not Yet Known	
	Examiner Name	Not Yet Known	
SHEET 2 of 2	Attorney Docket No.	SSM-491US1	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
M	11	Klaus Schwerdtfeger et al., Metallurgie des Stranggießens: Gießen und Erstarren von Stahl (Düsseldorf: Verlag Stahleisen mbH, 1992), pp. 333-336. (www.ht.)	
m	12	Hans Schrewe, Stranggießen von Stahl: Einführung und Grundlagen (Düsseldorf: Verlag Stahleisen mbH, 1987), pp. 119-120. (W mwHh)	
m	13	Schumann, Hermann: "Metallographie," Veb 1 Deutscher Verlag Für Grunstoffindustrie, Leipzip, p. 231. (No Lole)	
hu	14	Abstract of Japanese Patent No. 59-73150. (Amil 1984)	
im	15	European Search Report dated December 27, 2002, from European Application No. 01 12 5434.	
		·	
			0
			0
			Π.
Examiner	<u> </u>	Date Considered 2/3/05	

<sup>1</sup>Applicant's unique citation designation number (optional).

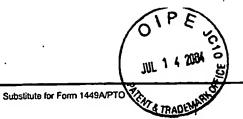
<sup>2</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).



SHEET 1 of 2

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known				
Application Number	10/827,146				
Filing Date	April 19, 2004				
First Named Inventor	Manfred Heinritz				
Art Unit	Not Yet Known				
Examiner Name	Not Yet Known				
Attorney Docket No.	SSM-491US1				

	U.S. PATENT DOCUMENTS					
Document Number		Document Number	Dublicables Date	Name of Oatookaa ar	Pages, Columns, Lines, Where Relevant Passages or Relevant	
Examiner Initials*	Ote No.1	Number - Kind Code <sup>2 (if known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Figures Appear	
				-		
<del></del>	-	<u> </u>				

	FOREIGN PATENT DOCUMENTS						
	_	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant		
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)	Publication Date (MM-DD-YYYY)	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>	
Me	1	EP 0 365 757	05/02/1990	Emitec Gesellschaft			
		•			•		

	<i></i>		
Examiner Signature	allh	Date Considered	2/3/05

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. 'Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Senter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>\*</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

\*Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form. call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

(AW 08/03)

Substitute for Form 1449B/PTO		Complete if Known
	Application Number	10/827,148
INFORMATION DISCLOSURE	Filing Date	April 19, 2004
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz
(Use as many sheets as necessary)	Art Unit	Not Yet Known
	Examiner Name	Not Yet Known
SHEET 2 of 2	Attorney Docket No.	SSM-491US1

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T2			
der	2	Schumann, Hermann: "Metallographie," Veb Deutscher Verlag Für Grundstoffindustrie, Leipzig, pp. 475-481.				
		·				
Examiner	<u> </u>	Lawlle Date Considered 2/3/05				
Signature	j	Callle Considered 2/3/35				

\*EXAMINER: Initial if reference considered, whether or not diation is in conformance with MPEP 609. Oraw line through diation in not in conformance and not considered. Include copy of this form with next communication to Applicant.

'Applicant's unique diation designation number (optional).

'Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\*\*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

AUG 2 7 20

SHEET 1 of 2

Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known	
Application Number	10/827,148	
Filing Date	April 19, 2004	
First Named Inventor	Manfred Heinritz	
Art Unit	1775	
Examiner Name	Michael E. Lavilla	11
Attorney Docket No.	SSM-491US1	

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Number - Kind Code <sup>2</sup> (if known)					
jm_	1	US-5,230,382	07/27/1993	Swars			
	<u> </u>				<del> </del>		
	<del> </del> -						
		,					
	ļ						
	<u> </u>						
	ļ				<u> </u>		

		FOREIGN PAT	TENT DOCUMEN	TS	_	
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5 (IJ known)</sup>	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear	τ°
Examiner Signature	T	Caples		Date Considered	2/3/05	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

<sup>\*</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

\*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>\*</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

8/27/04

(AW 08/03)

Substitute for Form 1449B/PTO	Complete if Known		
	Application Number	10/827,148	
INFORMATION DISCLOSURE	Filing Date	April 19, 2004	
STATEMENT BY APPLICANT	First Named Inventor	Manfred Heinritz	
(Use as many sheets as necessary)	Art Unit	1775	
	Examiner Name	Michael E. Lavilla	
SHEET 2 of 2	Attorney Docket No.	SSM-491US1	

NON-PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published					
M	2	Wolfgang Bergmann, "Materials Technology" Part 1: Basics, 3 <sup>rd</sup> Revised Edition, Hanser (2000), pp. 218, 219, 222-233, 258-260 (Includes Partial Translation).					
		· · · · · · · · · · · · · · · · · · ·					
	. 2						
		,					
Examiner	<u> </u>	Date 2/3/05					

avvice Signature Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

'Applicant's unique diation designation number (optional).

'Applicant's unique diation designation number (optional).

'Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO TMIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.